

December 12, 2025

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
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Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we wish to inform you that the National Commodity & Derivatives Exchange Limited (NCDEX) vide its letter dated December 05, 2025, has levied a penalty on IIFL Capital Services Limited ("the Company").

In this regard, please find below disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations.

Sr. No	Particulars	Remarks/updates
1	Name of the authority	National Commodity & Derivatives Exchange Limited (NCDEX)
2	Nature and details of the action(s) taken or order(s) passed;	NCDEX has levied a penalty of Rs 3,78,490 (excluding GST).
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	NCDEX letter dated December 05, 2025, received on December 12, 2025.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	NCDEX has levied a penalty of Rs 3,78,490 (excluding GST) on the Company due to violation of Open Interest Limits by one of the clients of the Company. The Company has taken note of the non-compliance and has initiated necessary corrective measures to prevent recurrence.
5	Impact on financial, operation or	Apart from the penalty levied, there has been

IIFL Capital Services Limited (Formerly known as IIFL Securities Limited)

Corporate Identity Number: **L99999MH1996PLC132983**

Corporate Office: Office No. 1, Ground Floor, Hubtown Solaris, N. S. Phadke Marg, Andheri (E), Near East West Flyover, Mumbai - 400069

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflcapital.com

	other activities of the listed entity, quantifiable in monetary terms to the extent possible.	no significant impact on the financial, operational, or other activities of the Company.
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Kindly take the above on your record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

**Meghal Shah
Company Secretary**

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