

April 20, 2026

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS
--	---

Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that National Stock Exchange of India Limited ("NSE") has imposed a monetary penalty on the Company in its capacity as a Trading Member with respect to client code modification.

In this regard, the requisite disclosure in terms of Regulation 30 of the SEBI Listing Regulations is provided below:

Sr. No.	Particular	Remark/Update
1	Name of the authority;	National Stock Exchange of India Limited (NSE)
2	Nature and details of the action(s) taken or order(s) passed;	NSE has imposed a penalty of ₹7,68,474.32/- in relation to Client Code Modification.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	The penalty dated April 17, 2026 was received on April 20, 2026.
4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	NSE has levied a penalty of ₹7,68,474.32/- on the Company under Para 3.2 pertaining to Client Code Modification to the 'ERROR' client code category, in accordance with Circular No. NSE/INVG/56395 dated April 17, 2023, regarding modification of client codes.

		In the present case, partial modification of the executed trade was not feasible. Accordingly, the Company coordinated with the client, who agreed to accept the full order quantity, and the custodian discharged the entire obligation on behalf of the client. Therefore, no client code modification was ultimately carried out. The Company is in the process of filing a waiver application in this regard.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

For **IIFL Capital Services Limited**
(Formerly IIFL Securities Limited)

Meghal Shah
Company Secretary