

October 19, 2026

**The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001
Tel No.: 22721233
Fax No.: 22723719/22723121/22722037
BSE Scrip Code: 542773**

Sub: Clarification on news item appearing in media publication

Dear Sir/Madam,

With reference to your communication dated October 14, 2026, seeking clarification on the news item titled *"SEBI imposes ₹2 lakh penalty on IIFL Capital Services over online bond platform violations"*, we wish to submit the following:

The aforesaid news item relates to an Adjudication Order passed by the Securities and Exchange Board of India ("SEBI"), whereby a monetary penalty of ₹2,00,000/- was imposed on the Company in connection with certain observations arising from the inspection of the Company's Online Bond Platform Provider (OBPP) activities. The Company had, in accordance with Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, promptly disclosed the receipt of the said Adjudication Order to the Stock Exchanges on October 14, 2026.

The Company remains committed to ensuring compliance with all applicable regulatory requirements and maintaining transparency with the Stock Exchanges.

Kindly take the above on record.

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

Meghal
Abhishek Shah

Digitally signed by
Meghal Abhishek Shah
Date: 2026.10.19
16:23:00 +05'30'

**Meghal Shah
Company Secretary**