

AKUMS
DRUGS & PHARMACEUTICALS LTD.



Plot No. 131 to 133, Block-C, Mangolpuri Ind. Area, Phase-I,
(Adjoining CBSE Office) Delhi - 110083 (INDIA).

www.akums.in

akumsho@akums.net

+91-11-69041000

CIN: L24239DL2004PLC125888

+91-11-27023256

Ref: Akums/Exchange/2026-27/14

May 16, 2026

To,
The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

To,
The Listing Department
BSE Limited
25th Floor, New Trading Ring,
Rotunda Building, Phiroze Jeejeebhoy
Towers, Dalal Street, Mumbai - 400 001

Symbol: AKUMS

Scrip Code: 544222

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2026.

Respected Sir/Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with the relevant Circular(s) issued by SEBI/ Exchanges from time to time, please find enclosed herewith the Annual Secretarial Compliance Report of the Company for the financial year ended 31st March, 2026, issued by M/s. SPG & Associates, Secretarial Auditor of the Company.

This is for your kind information and record.

Thanking You

For Akums Drugs and Pharmaceuticals Limited

Dharamvir Malik
Company Secretary & Compliance Officer

Encl: As above

Registered Office

304, Mohan Place, L.S.C., Block-C, Saraswati Vihar, New Delhi-110034 (INDIA).

+91-11-47511000

+91-11-27023256

Secretarial Compliance Report of Akums Drugs and Pharmaceuticals Limited
For the financial year ended March 31, 2026

To,
The Board of Directors
Akums Drugs and Pharmaceuticals Limited
CIN: L24239DL2004PLC125888
304, Mohan Place, LSC Saraswati Vihar,
Delhi - 110 034

We have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **Akums Drugs and Pharmaceuticals Limited** (hereinafter referred as “the listed entity”), having its registered office at **304, Mohan Place, LSC Saraswati Vihar, Delhi – 110 034**. Secretarial Review was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/ statutory compliances and expressing our opinion thereon.

Based on our verification of the listed entity’s books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, we hereby report that the listed entity has, during the review period covering the financial year ended on March 31, 2026, complied with the statutory provisions listed hereunder in the manner and subject to the reporting made hereinafter:

We, M/s. SPG & Associates, Practicing Company Secretaries, have examined:

- (a) All the documents and records made available to us and explanation provided by the listed entity,
- (b) The filings/ submissions made by the listed entity to the Stock Exchanges,
- (c) Website of the listed entity,
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification, for the financial year ended on March 31, 2026 (“Review Period”) in respect of compliance with the provisions of:
 - i. The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

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www.spgindia.co.in Phone: 9968300649, 8287112269
E-Mail: suresh@spgindia.co.in

- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the company during the period under review).
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (Not applicable to the company during the period under review).
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the company during the period under review).
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; (Not applicable to the company during the period under review).
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars / guidelines issued thereunder;

and based on the above examination, we hereby report that, during the review period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified as per Annexure-A.
- b. The listed entity has taken the following actions to comply with the observations made in previous reports as per Annexure-B.
- c. We hereby report that during the review period the compliance status of the listed entity is appended as per Annexure-C.

We further report that the listed entity is in compliance with the disclosure requirements of the Employee Benefit Scheme Documents in terms of Regulation 46(2)(za) of LODR Regulations.

Assumptions and Limitation of Scope of Review:

- 1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
- 2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
- 3. We have not verified the correctness and appropriateness of financial

records and Books of Accounts of the listed entity.

4. This report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

**For SPG & Associates
Company Secretaries**

ATUL
KUMAR
RAWAT

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**CS Atul Kumar Rawat
Partner**

M. No. 11727

CP No. 19018

Firm Registration No.:- P2009DE074200

Peer Review Certificate No.:1318/2021

UDIN: F011727H000213269

Date: 27/04/2026

Place: New Delhi

“Annexure A”

1. (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amt	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
None										

(b) The listed entity has taken following actions to comply with the observations made in previous reports:

Sr. No.	Observations/ Remarks of Practising Company Secretary in previous reports	Observations made in the Secretarial Compliance Report for the year ended 31 st March 2025	Compliance Requirement (Regulations, circulars, guidelines including specific clauses)	Details of violation/ deviations and action taken/ penalty imposed if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the action taken by the listed entity
1.	Some of the employees (designated individuals) as per Insider Trading Code of Conduct sold their shares within 6 months.	Some of the employees (designated individuals) as per Insider Trading Code of Conduct sold their shares within 6 months.	Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015.	During the IPO of the Company, employees were allotted shares under employee quota; few of them inadvertently sold such shares on listing	The Company has sensitised the relevant stakeholders. The matter was placed before the Audit Committee and the violation was intimated to the stock exchanges.	The Company has sensitised the relevant stakeholders. The matter was placed before the Audit Committee and the violation was intimated to the stock exchanges.
2	Consequent to cessation of Mr. Nand Lal Kalra, Independent Director effective from February 5, 2025, the composition of the Board of Directors no longer meets the requirement(s) of the Regulation 17(1)(b) of the SEBI (LODR) Regulations, 2015	Consequent to cessation of Mr. Nand Lal Kalra, Independent Director effective from February 5, 2025, the composition of the Board of Directors no longer meets the requirement(s) of the Regulation 17(1)(b) of the SEBI (LODR) Regulations, 2015	Regulation 17(1)(b) of the SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015	A fine of Rs. 5.95 lakh was imposed on the company for this non-compliance. The Company has deposited this fine amount with the Stock Exchange.	The Company has appointed Mr. Anil Kumar Arvind Lal Amin, Independent director dated 4 June 2025 and the said non-compliance has been regularised.	The non-compliance was made good with the appointment of Mr. Anil Amin, Independent director dated 4 June 2025. Also, the fine imposed by the stock exchanges was duly paid by the Company.

“Annexure – C”

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	<p><u>Secretarial Standards:</u></p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI), as notified by the Central Government under Section 118 (10) of the Companies Act, 2013 and mandatorily applicable.</p>	Yes	
2.	<p><u>Adoption and timely updation of the Policies:</u></p> <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI. 	Yes	
3.	<p><u>Maintenance and disclosures on Website:</u></p> <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website • Timely dissemination of the documents/ information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website. 	Yes	

4.	<u>Disqualification of Director:</u> None of the director(s) of the listed entity are disqualified under section 164 of the Companies Act, 2013 as confirmed by the listed entity.	Yes	
5.	<u>Details related to Subsidiaries of listed entities have been examined w.r.t.:</u> (a) Identification of material subsidiary companies (b) Disclosure requirements of material as well as other subsidiaries.	Yes	
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of preservation of documents and archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	Yes	
8.	<u>Related Party Transactions:</u> (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions (b) In case no prior approval obtained, the listed entity	Yes N.A.	There were no such transactions during the

	shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified/ rejected by the Audit committee.		period under review.
9.	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of the LODR Regulations within the time limits prescribed thereunder.	Yes	
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder (or) The actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges are specified in the last column.	No	The National Stock Exchange of India imposed a fine of Rs. 5.95 lakh for the non-compliance of the provisions of Regulation 17(1)(b) of the Listing Regulations.
12.	<u>Resignation of the Statutory Auditors from the listed entity or its material subsidiaries:</u> In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1	NA	There was no resignation of the Statutory Auditors of the Company or its material subsidiaries during the financial year under review

	and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.		
13	<u>Additional non-compliances, if any</u> No additional non-compliances observed for any SEBI regulation/ circular/ guidance note etc. except as reported above	NA	No additional non-compliance has been observed during the Review Period.

**Observations/Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'*

**For SPG & Associates
Company Secretaries**

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KUMAR
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Date: 2026.04.27
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**CS Atul Kumar Rawat
Partner**

**M. No. 11727
CP No. 19018**

**Firm Registration No.:- P2009DE074200
Peer Review Certificate No.:1318/2021
UDIN: F011727H000213269**

**Date: 27/04/2026
Place: New Delhi**